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Attorney Docket No.: 20363-021

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Korsmeyer, et al.

SERIAL NUMBER:

10/658,028

**EXAMINER:** 

Susan N. Ungar

FILING DATE:

September 9, 2003

ART UNIT:

1642

For:

BH3 PEPTIDES AND METHOD OF USE THEREOF

# Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Copies of Cited References: C38-39; and
- 4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 20363-021.

A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

c/o MINTZ, LEVIN

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within the three months of the mailing date of the International Search Report for PCT/US03/28482, mailed December 8, 2005. Accordingly, no fee or certification is believed required. By the waiver of 37 CFR 1.98(a)(2)(i) a copy of the U.S. Published Application A1 is not submitted.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS:

Korsmeyer, et al.

U.S.S.N.:

10/658,028

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 20363-021.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

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Date: March 1, 2006

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Page 1 of 1 Attorney Docket No.: 20363-021

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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary) |             |  |               | Application Number Filing Date First Named Inventor Group Art Unit Examiner Name |                          | 10/658,028 September 9, 2003 Korsmeyer 1642 Susan N. Ungar |           |              |                            |           |           |                               |       |                       |              |                               |        |        |
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| Exam<br>Initials   | Cite<br>No. | Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc. |               |  |                          |  |           |              |                            |           |           |                               |       |                       |              |                               |        |        |
|  | C38         | Aït-Ikhlef et al. Neurosci. Lett., 199:163-166 (1995)                              |               |  |                          |  |           |              |                            |           |           |                               |       |                       |              |                               |        |        |
|  | C39         | International Search Report for PCT/US03/28482, mailed December 8, 2005.           |               |  |                          |  |           |              |                            |           |           |                               |       |                       |              |                               |        |        |
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| By the   | waive       | r of 37 CFR 1.98(a   | ı)(2)(i) a co | py t   | he U.S. Published Applic | cation A1 is   | not sub   | mitted.      |                            |           |           |                               |       |                       |              |                               |        |        |
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Signature Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.